



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/645,673	08/24/2000	Ashok V. Joshi	001308

22876
FACTOR AND PARTNERS, LLC
100 WEST MONROE STREET
SUITE 300
CHICAGO, IL 60603



FORMALITIES LETTER



OC000000005477124

Date Mailed: 10/16/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1200.
 - \$810 for 45 total claims over 20.
 - \$390 for 5 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2020.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/21/2000 SDENB0B1 00000020 09645673

01 FC:199

6.00 DP

file:///C:/APPS/PreExam/correspondence/2_B.xml

12/21/2000 SDENB0B1 00000019 09645673
01 FC:101 710.00 DP
02 FC:105 130.00 DP
03 FC:102 400.00 DP
04 FC:103 774.00 DP
05 FC:259 6.00 DP
Void date: 12/21/2000 SDENB0B1 09645673
12/21/2000 SDENB0B1 00000019 09645673
05 FC:259 6.00 DP

10/16/00



Sector

**IN THE
UNITED STATES
PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Joshi et al.

CASE: 001308

SER. NO.: 09/645,673

FILING DATE: August 24, 2000

FOR: CONTROLLED RELEASE OF
SUBSTANCES

RESPONSE TO NOTICE
TO FILE MISSING PARTS
(filing date granted)

ASSISTANT COMMISSIONER
FOR PATENTS
Washington DC 20231

ATTENTION OF: AU 3752

EXAMINER:

Dear Sir:

If any charges or fees must be paid in connection with the following communication, they may be paid out of our Deposit Account No. 50-0545.

This is in response to the Notice to File Missing Parts of Application (filing date granted), mailed October 16, 2000, a copy of which is attached.

The Notice indicated that the executed declaration and the statutory basic filing fee in the amount of \$690.00, the fee for 45 total claims over twenty in the amount of \$810.00 and the fee for 5 independent claims over three in the amount of \$390.00 are missing. In response, Applicant herewith submits the fully executed declaration and a single check in the amount of \$2,020.00 (\$690.00 + \$810.00 + \$390.00 + \$130.00) for the statutory basic filing fee, extra claims fee, extra independent claim and surcharge fee. Accordingly, all outstanding missing parts identified in the Notice are submitted herewith, along with the appropriate surcharge fee.

FACTOR & PARTNERS, LLC
100 West Monroe Street, Suite 300
Chicago, IL 60603
(312) 578-0400

Jody L. Factor
Jovan N. Jovanovic
William L. King

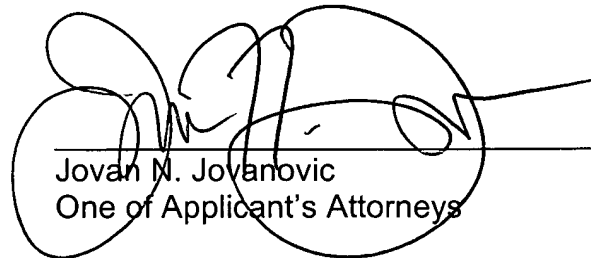
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Should anything further be required, a telephone call to the undersigned at (312) 578-0400 is respectfully solicited.

Respectfully submitted,

FACTOR & PARTNERS, LLC

Dated: December 15, 2000



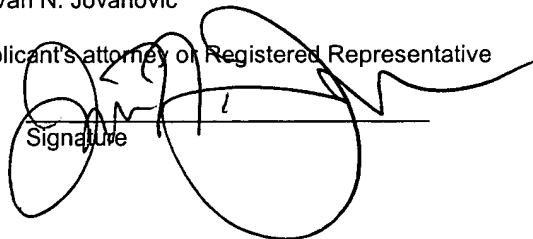
Jovan N. Jovanovic
One of Applicant's Attorneys

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Patent Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on December 15, 2000

Jovan N. Jovanovic

Name of Applicant, assignee, applicant's attorney or Registered Representative



Signature